

**F 4499**

(Pages : 2)

Reg. No.....

Name.....

**FIVE YEAR B.Com., LL.B. (HONOURS) DEGREE EXAMINATION  
DECEMBER 2023**

**Third Semester**

**FC 7—CAPITAL MARKETING**

(2021 Admissions—Regular/2018, 2019 and 2020 Admissions—Supplementary)

Time : Three Hours

Maximum : 75 Marks

**Part A**

*Answer any five questions.*

*Each question carries 3 marks.*

1. Illustrate the working of an option trade.
2. Who is a Lame Duck as an investor ?
3. Narrate the activities of the depository.
4. Specify any *two* speculative transactions.
5. Describe the function of an underwriter.
6. What do you mean by Listing of securities ?

(5 × 3 = 15 marks)

**Part B**

*Answer any three questions.*

*Each question carries 10 marks.*

7. What are the different types of Futures ? Elaborately make a distinction between futures and forward contract.
8. Examine the benefits of Depository System to company, investors and to capital market.
9. Discuss the powers and functions of Stock Exchange Board of India.
10. Critically evaluate the role of intermediaries in the New Issue Market.

(3 × 10 = 30 marks)

Turn over

**Part C**

*Answer any two questions.*

*Each question carries 15 marks*

11. What do you mean by Capital Market ? Narrate the structure of Indian Capital Market.
12. Discuss the components of Indian Financial System.
13. Examine the characteristics of Derivatives. Also discuss the different types of financial derivatives.

(2 × 15 = 30 marks)