G 4299 (Pages : 2) Reg. No.....

Name.....

FIVE YEAR INTEGRATED B.B.A., LL.B. (HONOURS) DEGREE EXAMINATION, JULY 2023

Sixth Semester

FB 18/FB 16—INVESTMENT MANAGEMENT

(2019 Admissions—Regular/2015 Admission onwards—Supplementary)

Time : Three Hours Maximum : 75 Marks

Part A

Answer any **five** *questions.*
Each question carries 3 *marks.*

1. Examine the merits of Dematerialization.
2. Define stock exchange.
3. What do you mean by Right Issue ?
4. State the purpose for drawing an Accommodation Bill.
5. Give a brief structure of Primary Market.
6. Narrate the term Financial Engineering.

(5 × 3 = 15 marks)

Part B

Answer any **three** *questions.*
Each question carries 10 *marks.*

7. Discuss the powers and functions of Stock Exchange Board of India.
8. What is Listing of Securities ? Discuss the procedure for Listing of securities in a stock exchange.
9. Describe the objectives of BSE and also narrates the trading system of BSE.
10. What do you mean by Depository System specifying its activities ? Also mention three interacting institutions in depository system.

(3 × 10 = 30 marks)

Turn over

Part C

Answer any **two** *questions.*
Each question carries 15 *marks.*

11. Explain the functions of new issue market and methods of floating new issue pointing out the intermediaries in NIM.

12. Define Derivatives. Discuss need, characteristics and types of derivatives.

13. Elucidate the components, role and functions of Indian Financial System.

(2 × 15 = 30 marks)