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Reg. No.....

Name.....

**FIVE YEAR B.Com., LL.B. (HONOURS) DEGREE EXAMINATION
FEBRUARY 2026**

Third Semester

FC 7—CAPITAL MARKETING

(2024 Admissions—Regular/2018 to 2023 Admissions—Supplementary)

Time : Three Hours

Maximum : 75 Marks

Part A

Answer any five questions.

Each question carries 3 marks.

1. Define financial system.
2. Write a brief note on Certificate of Deposits.
3. What do you mean by public issue ?
4. What is meant by listing of securities ?
5. Mention any *five* functions of SEBI.
6. Explain the features of forward contract.

(5 × 3 = 15 marks)

Part B

Answer any three questions.

Each question carries 10 marks.

7. Define treasury bill and state its merits.
8. Examine the various new instruments introduced in new issue market.
9. Describe the procedure for online trading and bring out its merits.

Turn over

10. Write a brief note on :

- (a) SWAP. (b) Option.
(c) Future.

(3 × 10 = 30 marks)

Part C

Answer any two questions.

Each question carries 15 marks.

11. Give the structure of Indian money market and point out its deficiencies.
12. What are the challenges faced by India's stock market ? Explain in detail.
13. What is the concept behind Depository system ? Also examine and evaluate the mechanism of Depository System.

(2 × 15 = 30 marks)